JC02 Rec'd PCT/PT0

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER DK-US055089

CON	CERNING A SUBMISSIC	U.S. APPLICATION NO. Af 100 8, see 37 CFR 1.5)								
	TONAL APPLICATION NO. P2003/014612	INTERNATIONAL FILING DATE November 17, 2003	PRIORITY DATE CLAIMED November 15, 2002							
TITLE OF INVENTION METHOD AND APPARATUS FOR WARM UP CONTROL OF AUTONOMOUS INVERTER-DRIVEN HYDRAULIC UNIT (AS AMENDED)										
APPLICANT(S) FOR DO/EO/US Tetsuo NAKATA et al.										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.										
2. П т	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. 🗸 1	The US has been elected (Article 31).									
5.	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))								
	a. is attached hereto (required only if not communicated by the International Bureau).									
Ä	b. 🗸 has been communicated by the International Bureau.									
,	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. 🔽 🤃	An English language translation of the	e International Application as filed (35 U.S.C	C. 371(c)(2)).							
•	a. is attached hereto.									
	b.  has been previously submit	tted under 35 U.S.C. 154(d)(4).								
. <sub>7,</sub> 🗸	Amendments to the claims of the Inte	rnational Application under PCT Article 19 (	35 U.S.C. 371(c)(3))							
** %	a. are attached hereto (requir	red only if not communicated by the Internat	tional Bureau).							
1 1	b. have been communicated by the International Bureau.									
	c. have not been made; however, the time limit for making such amendments has NOT expired.									
	d. An have not been made and v	will not be made.								
8.	An English language translation of th	ne amendments to the claims under PCT Art	ticle 19 (35 U.S.C. 371(c)(3)).							
9.	An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).								
10	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	xamination Report under PCT							
Items 11 to 20 below concern document(s) or information included:										
11. 🗸	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.								
12.	An assignment document for recording	ng. A separate cover sheet in compliance wi	th 37 CFR 3.28 and 3.31 is included.							
13. 🗹	A preliminary amendment.									
14. 🗸	An Application Data Sheet under 37 (	CFR 1.76.								
15.	A substitute specification.									
16.	A power of attorney and/or change of	address letter.								
17. 🔲	A computer-readable form of the sequence	uence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.							
18.	A second copy of the published Interr	national Application under 35 U.S.C. 154(d)(	(4).							
19.	A second copy of the English language	ge translation of the international application	under 35 U.S.C. 154(d)(4).							
20. 🗸	Other items or information: (1) Form	PCT/ISA/210: (2) PCT/IB/308: (3) PCT/IB/3	304							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPHCATION 15.3 KNOW, 198 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/JP2003/014612				ATTORNEY'S DOCKET NUMBER					
<b>LU/934698</b> PCT/JP2003/014612				DK-US055089					
The follow	ing fees have b	CALCULATIONS	PTO USE ONLY						
21. 📝 Basic	ational fee	\$300	<sup>\$</sup> 300.00						
22.  Examir If International pre PCT Article 33( All other situations	)-(4)	<sup>\$</sup> 200.00							
23. Search Search fee (37 CFI International International Search All other situations.	R 1.445(a)(2)) h I Searching Aut n Report prepar	\$ 400.00							
T	TAL OF 21, 2	\$ 900.00							
Additional fee sequence listi									
Total Sheets	I Sheets Extra Sheets		Number of each additional 50 or fraction thereof (round up to a whole number)						
24 - 100 =	0 /50 =	0		x \$250	\$ 0.00				
Surcharge of \$130 claimed priority dat		\$ 0.00							
CLAIMS	NUME	ER FILED	NUMBER EXTRA	RATE	\$				
Total claims	·	18 - 20 =	0	x \$ 50	\$ 0.00				
Independent claims	-	4 - 3 =	1	x \$200	\$ 200.00				
MULTIPLE DEPEN	DENT CLAIM(S	S) (if applicable)		+ \$360	\$ 0.00				
			TOTAL OF ABOV	E CALCULATIONS =	\$ 0.00				
Applicant clain	s small entity s								
				SUBTOTAL =	\$ 1,100.00				
Processing fee of \$ claimed priority dat		\$							
		\$ 1,100.00							
Fee for recording to by an appropriate of		\$							
		\$ 1,100.00							
,		Amount to be refunded:	\$						
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a. A check in the amount of \$ 1,100.00 to cover the above fees is enclosed.									
b. Please charge my Deposit Account No. 50-1836 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1836. A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
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DK-US055089

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Tetsuo NAKATA et al.

Serial No.: New – (National Phase of

PCT/JP2003/014612)

Filed: Herewith

For: METHOD AND APPARATUS FOR WARM

UP CONTROL OF AUTONOMOUS

INVERTER-DRIVEN HYDRAULIC UNIT

## **SUBMISSION OF TRANSLATION**

Assistant Commissioner of Patents Washington, DC 20231

Sir:

Applicants submit herewith an English translation of the International Patent Application No. PCT/JP2003/014612, which includes twenty (20) pages of specification and four (4) sheets of drawings.

The attached document represents a true and complete English translation of International Patent Application No. PCT/JP2003/014612.

Respectfully submitted,

David L. Tarnoff Reg. No. 32,383

SHINJYU GLOBAL IP COUNSELORS, LLP 1233 Twentieth Street, NW, Suite 700 Washington, DC 20036 (202)-293-0444

Dated: 5-13-05

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